

**Category of Report:** 

# Audit and Standards Committee Report

Report of:	The Monitoring Officer/Director of Legal and Governance
Date:	16 November 2017
Subject:	Review of the Monitoring Officer Protocol
Author of Report:	Philippa Braithwaite, Democratic Services - 0114 273 4015
Summary: This report provides Protocol.	details of proposed changes to the Monitoring Officer
Recommendations	: That the Committee:
(a) Comments or	n the draft revised Monitoring Officer Protocol; and
recommends	sion of any additional revisions arising from the meeting, to Full Council the adoption of the revised Protocol and that on is amended accordingly.
Background Papers	s: None

**OPEN** 

# **Statutory and Council Policy Checklist**

Financial Implications	
NO	
Legal Implications	
YES Cleared by: Gillian Duckworth	
Equality of Opportunity Implications	
NO	
Tackling Health Inequalities Implications	
NO	
Human rights Implications	
NO	
Environmental and Sustainability implications	
NO	
Economic impact	
NO	
Community safety implications	
NO	
Human resources implications	
NO	
Property implications	
NO	
Area(s) affected	
None	
Is the item a matter which is reserved for approval by the City Council?	
YES	
Press release	
NO	

# REVIEW OF THE MONITORING OFFICER PROTOCOL

# 1.0 INTRODUCTION

1.1 This report provides details of proposed changes to the Monitoring Officer Protocol.

# 2.0 BACKGROUND

2.1 The current Protocol was last amended in April 2015 following a change to the Complaints Procedure adopted by Full Council on 25 March 2015.

# 3.0 REVIEW OF PROTOCOL

- 3.1 Generally the Protocol has worked well but a number of revisions are proposed:-
  - Clarifying some of the language used.
  - Allowing the Deputy Monitoring Officers to act in the absence of the Monitoring Officer in their absence (previously this was only permitted for urgent actions).
  - Authorising the Monitoring Officer to deal with any issues that may arise in the course of business that concern the conduct or alleged conduct of Members in the absence of a complaint.
- 3.4 Members are asked to comment on the draft revised Protocol that is appended to the report.

#### 4.0 LEGAL IMPLICATIONS

4.1 As the Protocol is included in the Constitution, any changes would require approval at Full Council.

# 5.0 FINANCIAL IMPLICATIONS

5.1 There are no financial implications.

#### 6.0 RECOMMENDATIONS

- 6.1 That the Committee:-
  - (a) Comments on the draft revised Monitoring Officer Protocol; and
  - (b) With the inclusion of any additional revisions arising from the meeting, recommends to Full Council the adoption of the revised Protocol and that the Constitution is amended accordingly.

Gillian Duckworth

Monitoring Officer/Director of Legal and Governance

